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PTO/SB/21 (09-04)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/797.071 TRANSMITTAL Filing Date March 11, 2004 First Named Inventor Dominic GOODWILL et al. **FORM** Art Unit 2877 **Examiner Name** (to be used for all correspondence after initial filing) Attorney Docket Number PAT 3178-2 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Endosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): Request for Refund Express Abandonment Request - Statement under 37 CFR 3.73(b) CD, Number of CD(s)_ Information Disclosure Statement Landscape Table on CD **Certified Copy of Priority** Remarks Document(s) Reply to Missing Parts/ Incomplete Application Fax No.: 571-273-8300 Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Borden Ladner Gervals LLP Signature Printed name Jeffrey M. Measures Date Reg. No. 40.272 November 24, 2005 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date leffrey M. Measures November 24, 2005 Typed or printed name

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and11.4. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Filing Date March 11, 2004 First Named Inventor Dominic John GOODWill et al. Art Unit 2877 Examiner Name Attorney Docket Number PAT 3178-2 Ven in the above-identified application.		Application Number	10/797,071
Art Unit 2877 Examiner Name Attorney Docket Number PAT 3178-2		Filing Date	March 11, 2004
Examiner Name Attorney Docket Number PAT 9178-2		First Named Inventor	Dominic John GOODWill, et al.
Attorney Docket Number PAT 9178-2		Art Unit	2877
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l am the:										
Applicant/Inventor.										
Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)										
			E of Applicant		-	Record				
Signature	Kevin	alexantras	K				·		· · · · · · · · · · · · · · · · · · ·	
Name	Kevin A. Semi	brat, Senior Counsel, Int	ellectual Property							
Date	November 22, 2005 Telephone (919) 997-8453									
NOTE: Signature signature is requi	is of all the invent ired, see below*.	ors or seeignees of record o	of the antife interest or	their represe	ntative(s) a	re required.	Submit :	mulipie fo	ame if more th	en one
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STATEMENT UNDER 37 CFR 3.73(b)						
Applicant/Patent Owner: NORTEL NETWORKS LIMITED						
Application No./Patent No.: 10/797,071 Filed/Issue Date: March 11, 2004						
Entitled: METHOD AND APPARATUS FOR TESTING AN OPTICAL COMPONENT						
NORTEL NETWORKS LIMITED (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)						
states that it is: 1. The assignee of the entire right, title, and interest; or						
an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is %						
in the patent application/patent identified above by virtue of either:						
An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 015079 , Frame 0953 , or for which a copy thereof is attached.						
OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:						
1. From:To:						
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.						
2. From:To:						
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.						
From:						
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Additional documents in the chain of title are listed on a supplemental sheet.						
Copies of assignments or other documents in the chain of title are attached. [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]						
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.						
November 24, 2005						
Signature	Date					
Jeffrey M. Measures (Reg. No. 40.272)	613-237-5160					
Printed or Typed Name	Telephone Number					
Agent for the Applicant						
Title						

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